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APPLICATION NUMBER FILING OR 371 (c) DATE FIRST NAMED APPLICANT ATTORNEY DOCKET NUMBER 11/203,657

08/12/2005

Timothy Raymond Cronin

Timothy Raymond Cronin P.O. Box 291 Portage, WI 53901

CONFIRMATION NO. 8099 FORMALITIES LETTER

Date Mailed: 08/31/2005

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

10/24/2005 DEMMANU1 00000009 11203657

FILED UNDER 37 CFR 1.53(b)

01 FC:2011 02 FC:2622 150.00 DP 30.00 OP

Filing Date Granted

Items Required To Avoid Abandonment:

An application number and filing date have been accorded to this application. The item(s) indicated below. however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing. Applicant must submit \$ 150 to complete the basic filing fee for a small entity.
- The oath or declaration is unsigned.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

• An abstract of the technical disclosure not exceeding 150 words in length and commencing on a separate sheet in compliance with 37 CFR 1.72(b) is required. An abstract was not provided for this application.

Applicant is cautioned that correction of the above items may cause the specification and drawings page count to exceed 100 pages. If the specification and drawings exceed 100 pages, applicant will need to submit the required application size fee.

The applicant needs to satisfy supplemental fees problems indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

 To avoid abandonment, a surcharge (for late submission of filing fee, search fee, examination fee or oath or declaration) as set forth in 37 CFR 1.16(f) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.

SUMMARY OF FEES DUE:

Total additional fee(s) required for this application is \$565 for a Small Entity

- \$150 Statutory basic filing fee.
- \$65 Surcharge.
- The application search fee has not been paid. Applicant must submit \$250 to complete the search fee.
- The application examination fee has not been paid. Applicant must submit \$100 to complete the examination fee for a small entity in compliance with 37 CFR 1.27

Items Required To Avoid Processing Delays:

The item(s) indicated below are also required and should be submitted with any reply to this notice to avoid further processing delays.

- A new oath or declaration, identifying this application number is required. The oath or declaration does not comply with 37 CFR 1.63 in that it:
- does not state that the person making the oath or declaration acknowledges the duty to disclose information which is material to patentability as defined in 37 CFR 1.56.
- does not state that the person making the oath or declaration has reviewed and understands the contents
 of the specification, including the claims, as amended by any amendment specifically referred to in the oath
 or declaration.
- does not state that the person making the oath or declaration believes the named inventor or inventors to be the original and the first inventor or inventors of the subject matter which is claimed and for which a patent is sought.

Replies should be mailed to:

Mail Stop Missing Parts

Commissioner for Patents

P.O. Box 1450

Alexandria VA 22313-1450

A copy of this notice <u>MUST</u> be returned with the reply.

Office of Initial Patent Examination (571) 272-4000, or 1-800-PTO-9199, or 1-800-972-6382
PART 2 - COPY TO BE RETURNED WITH RESPONSE



P.O. Box 291 Portage, WI 53901 October 19, 2005

Mail Stop Missing Parts Commissioner for Patents P.O. Box 1450 Alexandria VA 22313-1450

re: U.S. APPLICATION NUMBER: 10/680830
FILING DATE: 070CT03
NAME OF APPLICANT: Timothy Raymond Cronin
TITLE OF INVENTION: Certified protection from subliminal
content for recordings.

Dear Sirs:

I received a NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION very early last month. I responded to that NOTICE with two letters, the first of which was received at your address on September 09, 2005. The second which was received at the USPTO Mail Center on October 05, 2005. But I did not send any money.

The Formalities Letter (see enclosed copy; and, too, a copy of the FILING RECEIPT showing corrections) which contained the NOTICE mentioned above listed amounts I needed to pay to avoid abandonment of my application for a patent. But my utility patent application made in October of the year 2003 was said to have been "lost in cyberspace" at the USPTO. Consequently, I did not believe that the fees and surcharge listed in the SUMMARY OF FEES DUE section of that Formalities Letter were correct; and this I described in my letter to your office in early September of this year.

However, in that letter I wrote that I thought the total payment indicated by the SUMMARY OF FEES DUE section of the Formalities Letter was \$1130.00. But the actual payment is probably half of that amount, i.e. \$565.00 (the amounts listed after black dots under the top line of page two of the Formalities Letter probably are a breakdown of the \$565.00 mentioned in that top line).

Although I contest the surcharge and at least one of the other fees, to avoid further delay in processing or abandonment of my utility patent application I am sending an additional payment along with proof of an earlier payment together which amount to \$565.00. And I'm doing so in time to meet the deadline (which is probably 300CT05).

Sincerely,

Timethy Raymond Comin

Phone 608.429.2134

National Exchange OTP E 12005 Bank & Trust



